

Jin-Soo Kim  
([jinsoo.kim@snu.ac.kr](mailto:jinsoo.kim@snu.ac.kr))

Systems Software &  
Architecture Lab.

Seoul National University

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# RISC-V

# Architecture I



# Introduction to RISC-V

Chap. 2.1

# Program? $\approx$ Recipe!



준비시간 :10분, 조리시간 :10분

## 재료

라면 1개, 스프 1봉지, 오징어 1/4마리, 호박 1/4개, 양파 1/2개, 양배추 1장, 당근 1/4개, 물 3컵(600cc)

**Ingredients**  
 **$\approx$  Data**

## 만드는 법

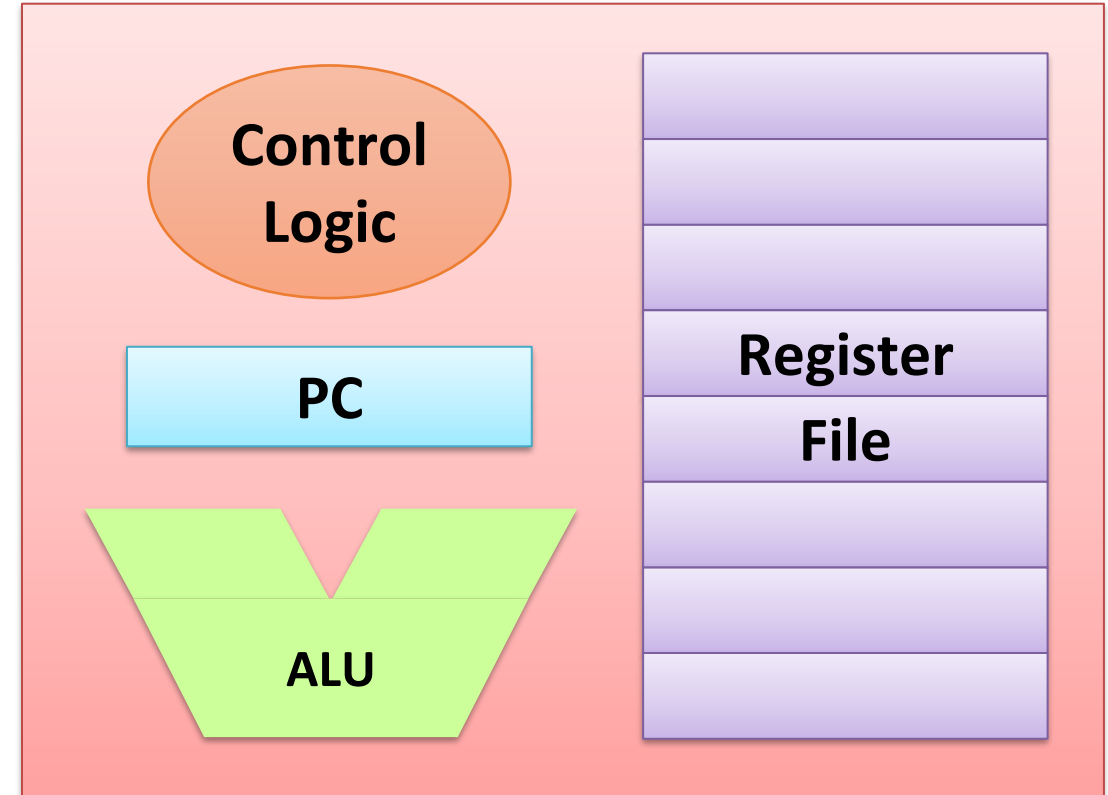
1. 오징어는 껍질을 벗기고 깨끗하게 씻어 칼집으로 모양을 낸다.
2. 호박, 양파, 양배추는 모두 채썬다.
3. 냄비에 물 3컵을 붓고 끓인다.
4. 물이 끓으면 스프를 넣고 오징어와 야채를 넣어 충분히 맛이 우러나도록 5분 정도 끓여준다.
5. 끓으면 면을 넣어 익힌다.

**Directions  $\approx$  Instructions**

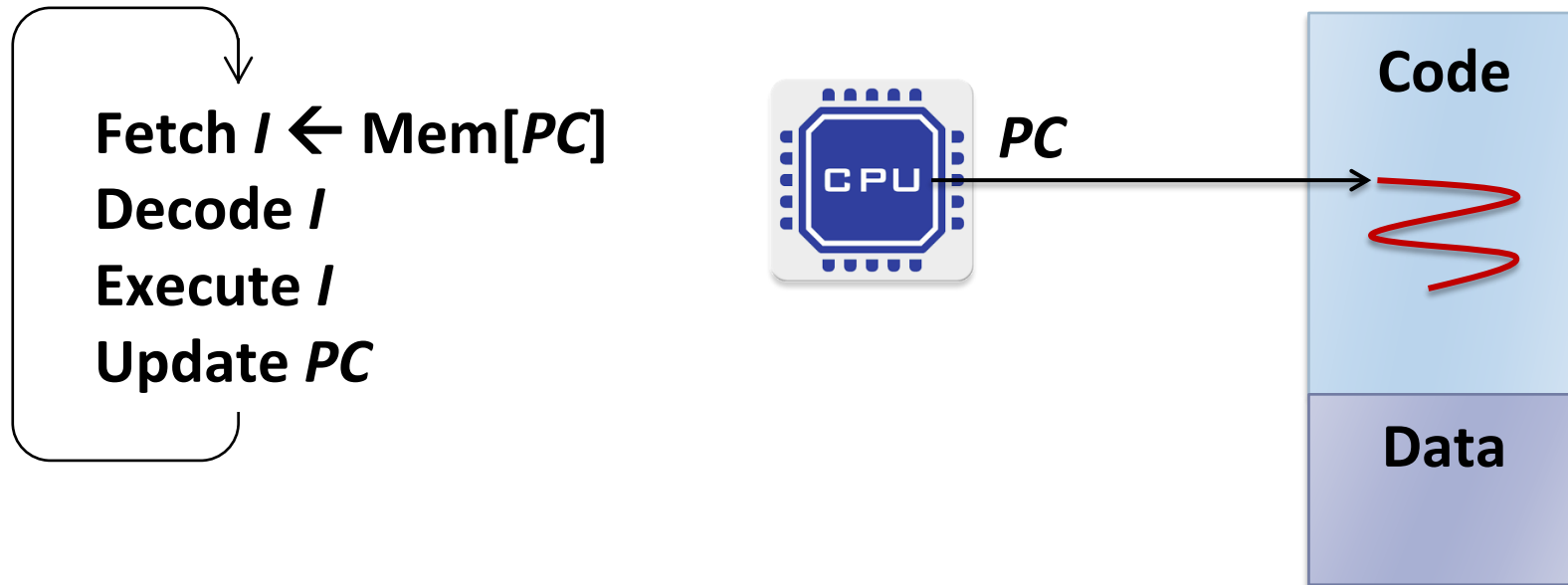
# CPU

## ■ Central Processing Unit

- PC (Program Counter)
  - Address of next instruction
- Register file
  - Heavily used program data
- ALU (Arithmetic & Logic Unit)
  - Arithmetic operations
  - Logical operations
- Control logic
  - Control instruction fetch, decoding and execution



# The (Dumb) Life of CPU



# Architecture

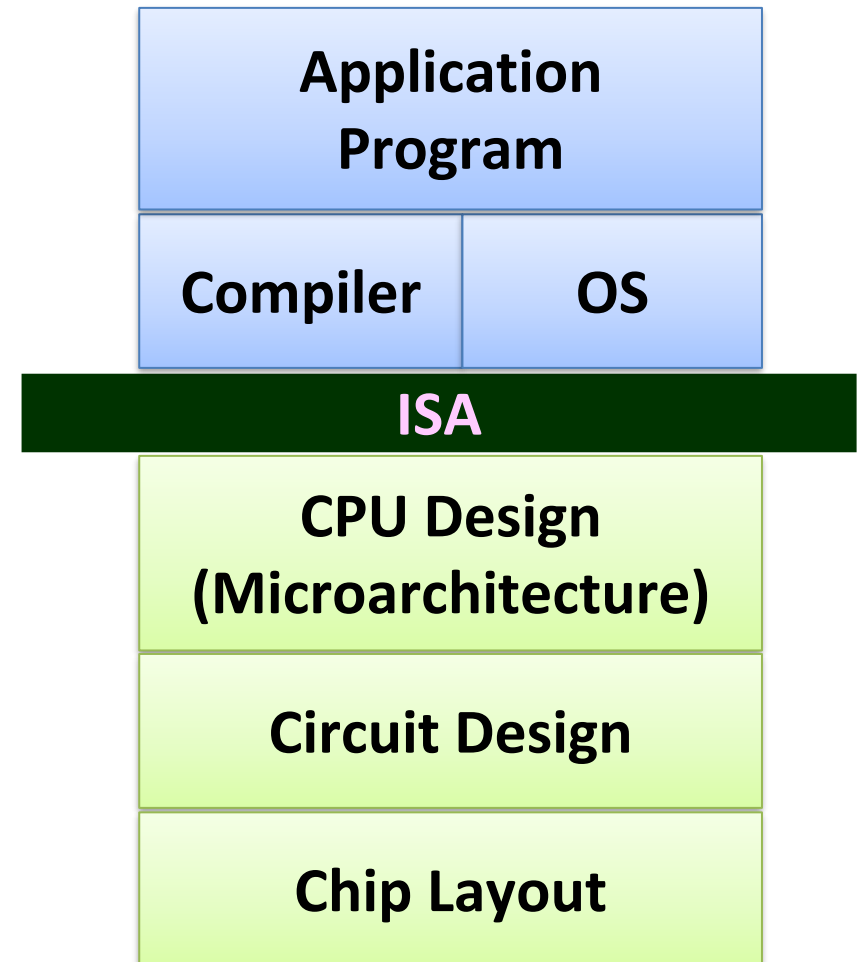
**“the attributes of a system as seen by the programmer, i.e., the conceptual structure and functional behavior, as distinct from the organization of the data flow and controls, the logical design, and the physical implementation”**

*-- Amdahl, Blaauw, and Brooks, Architecture of the IBM System/360, IBM Journal of Research and Development, April 1964.*

- The visible interface between software and hardware
- What the user (OS, compiler, ...) needs to know to reason about how the machine behaves
- Abstracted from the details of how it may accomplish its task

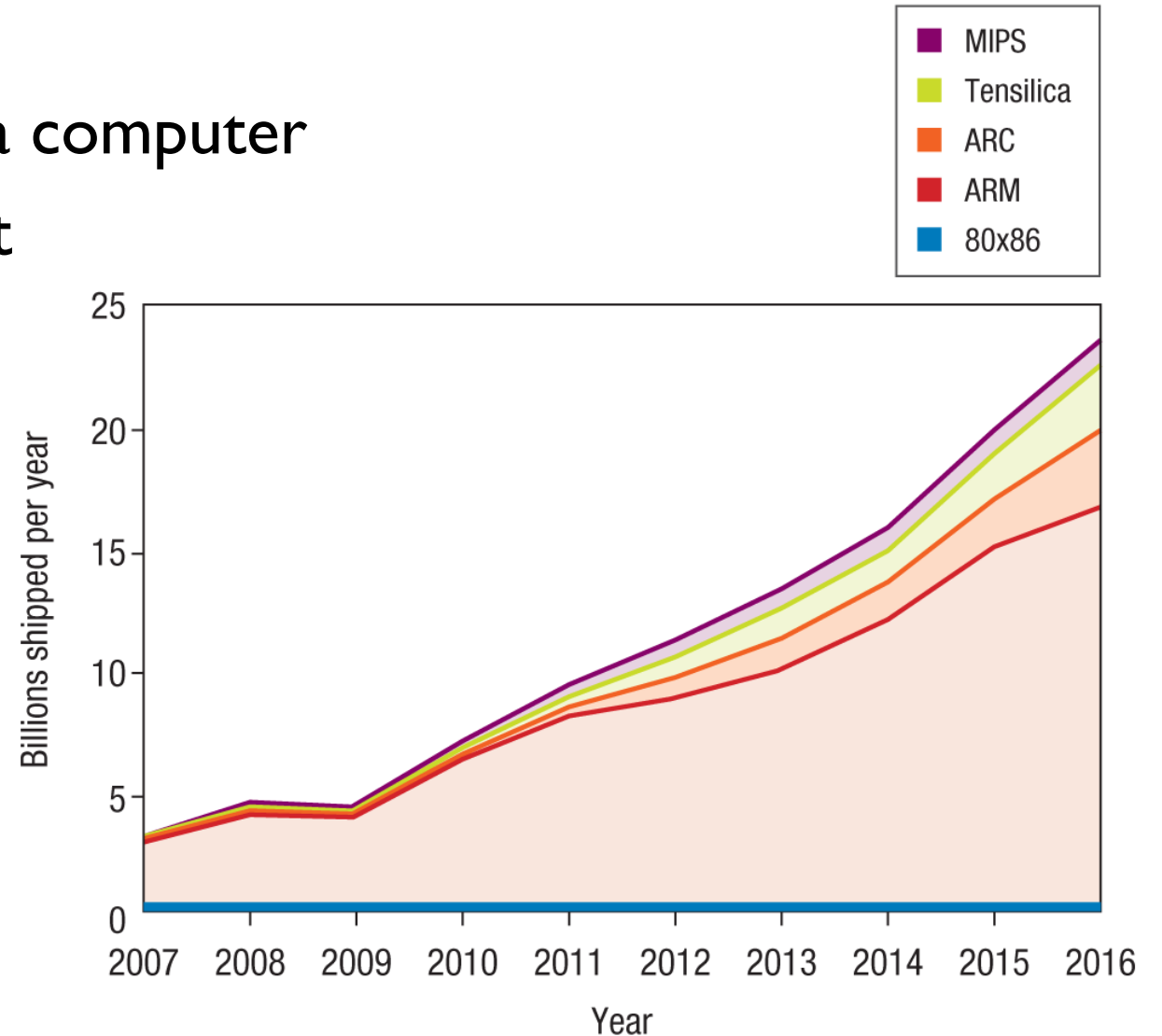
# Instruction Set Architecture (ISA)

- Above: how to program machine
  - Processors execute instructions in sequence
- Below: what needs to be built
  - Use variety of tricks to make it run fast
- Instruction set
- Processor registers
- Memory addressing modes
- Data types and representations
- Byte ordering, ...



# Instruction Set

- The repertoire of instructions of a computer
- Different computers have different instruction sets
  - But with many aspects in common
- Early computers had very simple instruction sets
  - Simplified implementation
- Many modern computers also have simple instruction sets
  - RISC (Reduced Instruction Set Computer)





# The RISC-V Instruction Set

- A completely open ISA that is freely available to academia and industry
- Fifth RISC ISA design developed at UC Berkeley
  - RISC-I (1981), RISC-II (1983), SOAR (1984), SPUR (1989), and RISC-V (2010)
- Now managed by the RISC-V Foundation (<http://riscv.org>)
- Typical of many modern ISAs
  - See RISC-V Reference Card (or Green Card)
- Similar ISAs have a large share of embedded core market
  - Applications in consumer electronics, network/storage equipment, cameras, printers, ...

# Why Freely Open ISA?

- **Greater innovation via free-market competition**
  - From many core designers, closed-source and open-source
- **Shared open core designs**
  - Shorter time to market, lower cost from reuse, fewer errors given more eyeballs, transparency makes it difficult for government agencies to add secret trap doors
- **Processors becoming affordable for more devices**
  - Help expand the Internet of Things (IoT), which could cost as little as \$1
- **Software stack survive for long time**
- **Make architectural research and education more real**
  - Fully open hardware and software stacks

# RISC-V ISAs

- Three base integer ISAs, one per address width
  - RV32I, RV64I, RV128I
  - RV32I: Only 40 instructions defined
  - RV32E: Reduced version of RV32I with 16 registers for embedded systems
- Standard extensions
  - Standard RISC encoding in a fixed 32-bit instruction format
  - C extension offers shorter 16-bit versions of common 32-bit RISC-V instructions (can be intermixed with 32-bit instructions)

Name	Extension
M	Integer Multiply/Divide
A	Atomic Instructions
F	Single-precision FP
D	Double-precision FP
G	General-purpose (= IMAFD)
Q	Quad-precision FP
C	Compressed Instructions

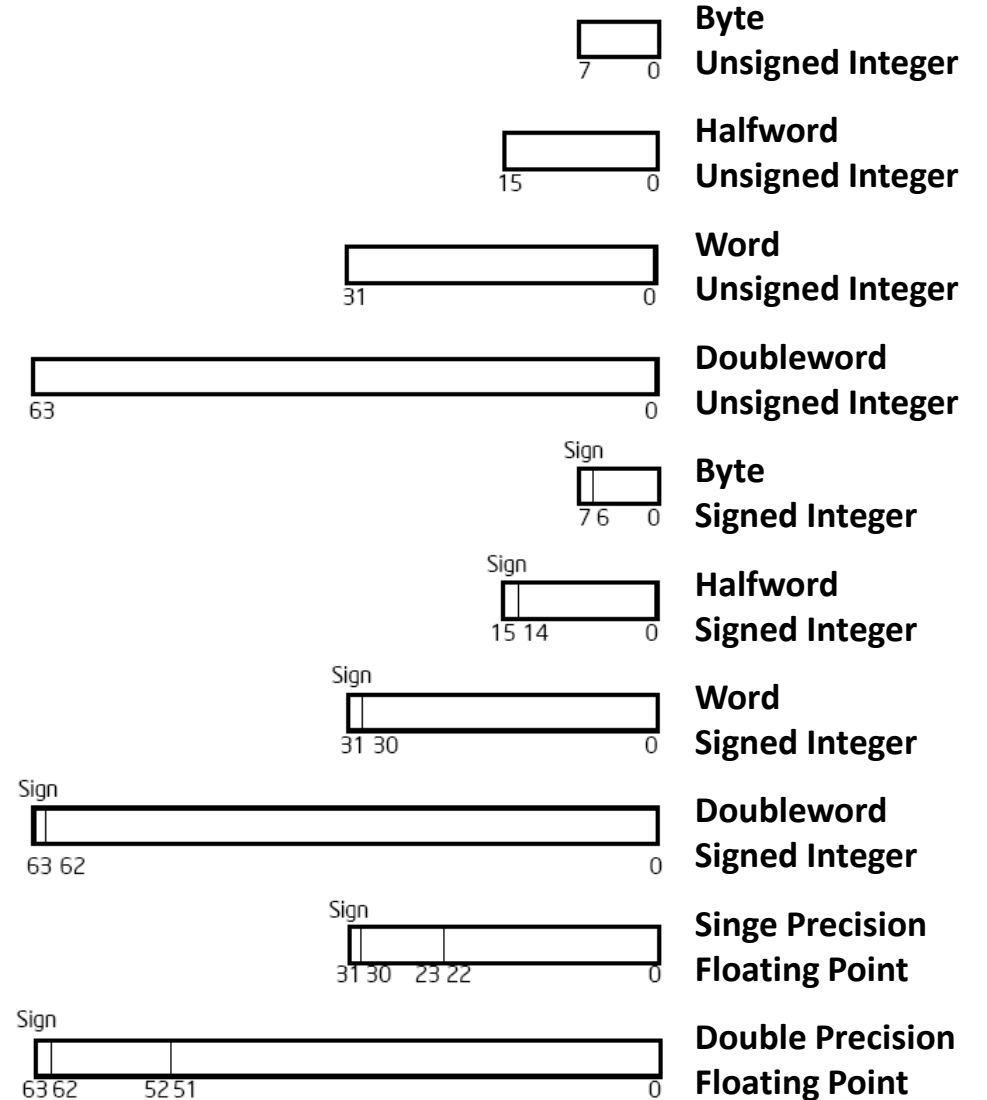
# RISC-V Registers

#	Name	Usage
x0	zero	Hard-wired zero
x1	ra	Return address
x2	sp	Stack pointer
x3	gp	Global pointer
x4	tp	Thread pointer
x5	t0	Temporaries (Caller-save registers)
x6	t1	
x7	t2	
x8	s0/fp	Saved register / Frame pointer
x9	s1	Saved register
x10	a0	Function arguments / Return values
x11	a1	
x12	a2	Function arguments
x13	a3	
x14	a4	
x15	a5	

#	Name	Usage
x16	a6	Function arguments
x17	a7	
x18	s2	Saved registers (Callee-save registers)
x19	s3	
x20	s4	
x21	s5	
x22	s6	
x23	s7	
x24	s8	
x25	s9	
x26	s10	
x27	s11	
x28	t3	Temporaries (Caller-save registers)
x29	t4	
x30	t5	
x31	t6	
	pc	Program counter

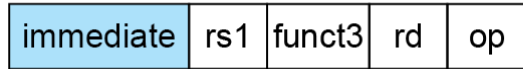
# Data Types

- Integer data of 1, 2, 4, or 8 bytes
  - Data values
  - Addresses (untyped pointers)
- Floating point data of 4 or 8 bytes (with F or D extension)
  - Just contiguously allocated bytes in memory
- No aggregated types such as arrays or structures

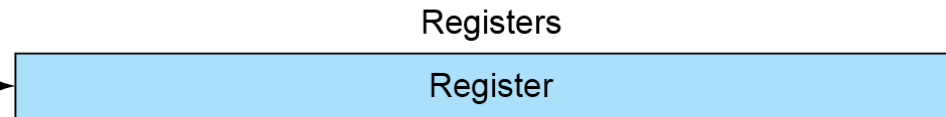
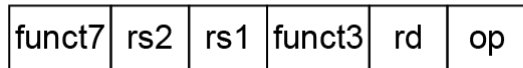


# RISC-V Addressing

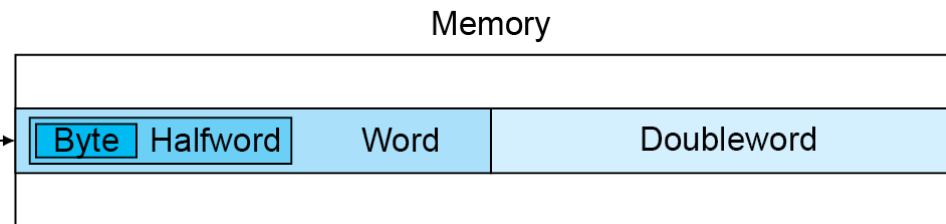
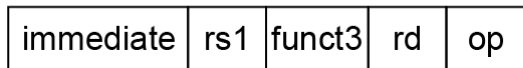
## 1. Immediate addressing



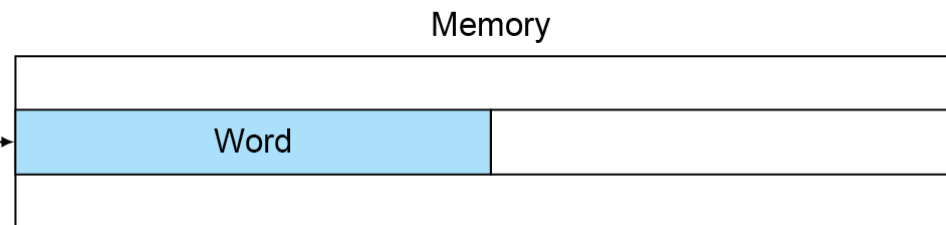
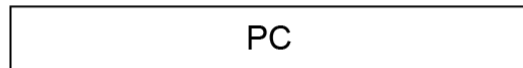
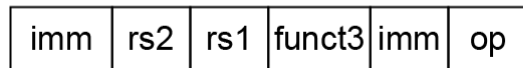
## 2. Register addressing



## 3. Base addressing



## 4. PC-relative addressing



# Operations

- Perform an arithmetic or logical function on register data
- Transfer data between memory and register
  - Load data from memory into register
  - Store register data into memory
- Transfer control
  - Unconditional jump
  - Conditional branch
  - Procedure call and return

# RISC-V Tutorial @ HotChips '19

- <https://youtu.be/nPXdbm91c3A>



**RISC-V<sup>®</sup>**

**Hot Chips Tutorial, Part-I:  
RISC-V Overview and ISA Design**

**Krste Asanovic**  
Prof. EECS, UC Berkeley;  
Chairman of the Board,  
RISC-V Foundation;  
Co-Founder and Chief Architect,  
SiFive Inc.  
**Stanford, CA**  
**August 18, 2019**

 RISC-V<sup>®</sup>

 @risc\_v



RISC-V:

# Arithmetic / Logical Operations

Chap. 2.2–2.3, 2.6

# Arithmetic Operations

- Add and subtract, three operands
  - Two sources and one destination

```
add a, b, c      // a ← b + c
sub a, b, c      // a ← b - c
```

- All arithmetic operations have this form
- **Design Principle I: Simplicity favors regularity**
  - Regularity makes implementation simpler
  - Simplicity enables higher performance at lower cost

# Register Operands

- Arithmetic instructions use register operands
- RISC-V has a 32 x 64-bit register file:  $x_0 \sim x_{31}$ 
  - Use for frequently accessed data
  - 64-bit data is called a “doubleword”
  - 32-bit data is called a “word”
- **Design Principle 2: Smaller is faster**
  - cf. Main memory: millions of locations

# Register Operand Example

## C code:

```
// f in x19
// g in x20
// h in x21
// i in x22
// j in x23

f = (g + h) - (i + j);
```

## Compiled RISC-V code:

```
add    x5, x20, x21
add    x6, x22, x23
sub    x19, x5, x6
```

# Registers vs. Memory

- Registers are faster to access than memory
- In RISC-V, data in memory cannot be directly addressed by ALU instructions
- Operating on memory data requires loads and stores
  - More instructions to be executed
- Compiler must use registers for variables as much as possible
  - Only spill to memory for less frequently used variables
  - Register optimization is important!

# Immediate Operands

- Constant data specified in an instruction

```
addi x22, x22, 4
```

- Make the common case fast
  - Small constants are common (limited to 12 bits)
  - Immediate operand avoids a load instruction

# Logical Operations

- Instructions for bitwise manipulation

Operation	C	Java	RISC-V
Shift left	<<	<<	sll, slli
Shift right (arithmetic)	>>	>>	sra, srai
Shift right (logical)	>>	>>>	srl, srli
Bit-by-bit AND	&	&	and, andi
Bit-by-bit OR			or, ori
Bit-by-bit XOR	^	^	xor, xori
Bit-by-bit NOT	~	~	

- Useful for extracting and inserting groups of bits in a word

# AND Operations

- Useful to mask bits in a word
  - Select some bits, clears others to 0

```
and x9, x10, x11
```

x10 00000000 00000000 00000000 00000000 00000000 00000000 00001101 11000000

x11 00000000 00000000 00000000 00000000 00000000 00000000 00111100 00000000

x9 00000000 00000000 00000000 00000000 00000000 00000000 00001100 00000000



# OR Operation

- Useful to include bits in a word
  - Set some bits to 1, leave others unchanged

```
or  x9, x10, x11
```

x10 00000000 00000000 00000000 00000000 00000000 00000000 00001101 11000000

x11 00000000 00000000 00000000 00000000 00000000 00000000 00111100 00000000

x9 00000000 00000000 00000000 00000000 00000000 00000000 00111101 11000000

# XOR Operation

- Differencing operation
  - Clear when bits are the same, set if they are different

```
xor x9, x10, x12
```

x10	00000000	00000000	00000000	00000000	00000000	00000000	00001101	11000000
-----	----------	----------	----------	----------	----------	----------	----------	----------

x12	11111111	11111111	11111111	11111111	11111111	11111111	11111111	11111111
-----	----------	----------	----------	----------	----------	----------	----------	----------

x9	11111111	11111111	11111111	11111111	11111111	11111111	11110010	00111111
----	----------	----------	----------	----------	----------	----------	----------	----------

# 32-bit Constants

- Most constants are small
  - 12-bit immediate is sufficient
- For the occasional 32-bit constant:
  - Copies 20-bit constant to bits [31:12] of rd
  - Extends bit 31 to bits [63:32]
  - Clears bits [11:0] of rd to 0
- Example: `x19 <- 0x003D0500`

```
lui rd, constant
```

<code>lui x19, 0x003D0</code>	0000 0000 0000 0000	0000 0000 0000 0000	0000 0000 0011 1101 0000	0000 0000 0000
<code>addi x19,x19,0x500</code>	0000 0000 0000 0000	0000 0000 0000 0000	0000 0000 0011 1101 0000	0101 0000 0000

# Arithmetic Operations

Instruction	Type	Example	Meaning
<b>Add</b>	R	<code>add rd, rs1, rs2</code>	$R[rd] = R[rs1] + R[rs2]$
<b>Subtract</b>	R	<code>sub rd, rs1, rs2</code>	$R[rd] = R[rs1] - R[rs2]$
<b>Add immediate</b>	I	<code>addi rd, rs1, imm12</code>	$R[rd] = R[rs1] + \text{SignExt}(imm12)$
<b>Set less than</b>	R	<code>slt rd, rs1, rs2</code>	$R[rd] = (R[rs1] < R[rs2])? 1 : 0$
<b>Set less than immediate</b>	I	<code>slti rd, rs1, imm12</code>	$R[rd] = (R[rs1] < \text{SignExt}(imm12))? 1 : 0$
<b>Set less than unsigned</b>	R	<code>sltu rd, rs1, rs2</code>	$R[rd] = (R[rs1] <_u R[rs2])? 1 : 0$
<b>Set less than immediate unsigned</b>	I	<code>sltiu rd, rs1, imm12</code>	$R[rd] = (R[rs1] <_u \text{SignExt}(imm12))? 1 : 0$
<b>Load upper immediate</b>	U	<code>lui rd, imm20</code>	$R[rd] = \text{SignExt}(imm20 \ll 12)$
<b>Add upper immediate to PC</b>	U	<code>auipc rd, imm20</code>	$R[rd] = PC + \text{SignExt}(imm20 \ll 12)$

# Logical Operations

Instruction	Type	Example	Meaning
AND	R	and rd, rs1, rs2	$R[rd] = R[rs1] \& R[rs2]$
OR	R	or rd, rs1, rs2	$R[rd] = R[rs1]   R[rs2]$
XOR	R	xor rd, rs1, rs2	$R[rd] = R[rs1] \wedge R[rs2]$
AND immediate	I	andi rd, rs1, imm12	$R[rd] = R[rs1] \& \text{SignExt}(imm12)$
OR immediate	I	ori rd, rs1, imm12	$R[rd] = R[rs1]   \text{SignExt}(imm12)$
XOR immediate	I	xori rd, rs1, imm12	$R[rd] = R[rs1] \wedge \text{SignExt}(imm12)$
Shift left logical	R	sll rd, rs1, rs2	$R[rd] = R[rs1] \ll R[rs2]$
Shift right logical	R	srl rd, rs1, rs2	$R[rd] = R[rs1] \gg R[rs2]$ (logical)
Shift right arithmetic	R	sra rd, rs1, rs2	$R[rd] = R[rs1] \gg R[rs2]$ (arithmetic)
Shift left logical immediate	I	slli rd, rs1, shamt	$R[rd] = R[rs1] \ll shamt$
Shift right logical imm.	I	srlr rd, rs1, shamt	$R[rd] = R[rs1] \gg shamt$ (logical)
Shift right arithmetic immediate	I	srair rd, rs1, shamt	$R[rd] = R[rs1] \gg shamt$ (arithmetic)

# Example: arith

```
long arith (long x,  
            long y,  
            long z) {  
    long t1 = x + y;  
    long t2 = z + t1;  
    long t3 = x + 4;  
    long t4 = y * 48;  
    long t5 = t3 + t4;  
    long rval = t2 - t5;  
    return rval;  
}
```

```
arith:  
    add    a5, a0, a1      # a5 = x + y (t1)  
    add    a2, a5, a2      # a2 = t1 + z (t2)  
    addi   a0, a0, 4       # a0 = x + 4 (t3)  
    slli   a5, a1, 1       # a5 = y * 2  
    add    a1, a5, a1      # a1 = a5 + y  
    slli   a5, a1, 4       # a5 = a1 * 16 (t4)  
    add    a0, a0, a5      # a0 = t3 + t4 (t5)  
    sub    a0, a2, a0      # a0 = t2 - t5 (rval)  
    ret
```

```
x in a0  
y in a1  
z in a2
```

# Example: logical

```
long logical (long x,  
              long y) {  
    long t1 = x ^ y;  
    long t2 = t1 >> 17;  
    long mask = (1 << 8) - 7;  
    long rval = t2 & mask;  
    return rval;  
}
```

logical:

```
xor    a0, a0, a1        # a0 = x ^ y (t1)  
srai   a0, a0, 17       # a0 = t1 >> 17 (t2)  
andi   a0, a0, 249      # a0 = t2 & ((1 << 8) - 7)  
ret
```

x in a0  
y in a1

# RISC-V: Data Transfer Operations

Chap. 2.2–2.3



# Memory Operands

- Main memory used for composite data
  - Arrays, structures, dynamic data
- To apply arithmetic operations
  - Load values from memory to registers
  - Store result from register to memory
- Memory is byte addressed: each address identifies an 8-bit byte
- RISC-V is Little Endian
  - Least-significant byte at least address of a word
- RISC-V does not require words to be aligned in memory
  - Unlike some other ISAs

# Memory Operand Example

## C code:

```
// h in x21
// base address of A in x22

A[12] = h + A[8]
```

## Compiled RISC-V code:

```
// 8 bytes per doubleword
// &A[8] = A + 64

ld    x9, 64(x22)
add   x9, x21, x9
sd    x9, 96(x22)
```

# Byte/Halfword/Word Operations

- Load byte/halfword/word:  
Sign extend to 64 bits in rd

lb	rd, offset(rs1)
lh	rd, offset(rs1)
lw	rd, offset(rs1)

- Load byte/halfword/word:  
Zero extend to 64 bits in rd

lbu	rd, offset(rs1)
lhu	rd, offset(rs1)
lwu	rd, offset(rs1)

- Store byte/halfword/word:  
Store rightmost 8/16/32 bits

sb	rs2, offset(rs1)
sh	rs2, offset(rs1)
sw	rs2, offset(rs1)

# Data Transfer Operations

Instruction	Type	Example	Meaning
Load doubleword	I	ld rd, imm12(rs1)	$R[rd] = \text{Mem}_8[R[rs1] + \text{SignExt}(\text{imm12})]$
Load word	I	lw rd, imm12(rs1)	$R[rd] = \text{SignExt}(\text{Mem}_4[R[rs1] + \text{SignExt}(\text{imm12})])$
Load halfword	I	lh rd, imm12(rs1)	$R[rd] = \text{SignExt}(\text{Mem}_2[R[rs1] + \text{SignExt}(\text{imm12})])$
Load byte	I	lb rd, imm12(rs1)	$R[rd] = \text{SignExt}(\text{Mem}_1[R[rs1] + \text{SignExt}(\text{imm12})])$
Load word unsigned	I	lwu rd, imm12(rs1)	$R[rd] = \text{ZeroExt}(\text{Mem}_4[R[rs1] + \text{SignExt}(\text{imm12})])$
Load halfword unsigned	I	lhu rd, imm12(rs1)	$R[rd] = \text{ZeroExt}(\text{Mem}_2[R[rs1] + \text{SignExt}(\text{imm12})])$
Load byte unsigned	I	lbu rd, imm12(rs1)	$R[rd] = \text{ZeroExt}(\text{Mem}_1[R[rs1] + \text{SignExt}(\text{imm12})])$
Store doubleword	S	sd rs2, imm12(rs1)	$\text{Mem}_8[R[rs1] + \text{SignExt}(\text{imm12})] = R[rs2]$
Store word	S	sw rs2, imm12(rs1)	$\text{Mem}_4[R[rs1] + \text{SignExt}(\text{imm12})] = R[rs2](31:0)$
Store halfword	S	sh rs2, imm12(rs1)	$\text{Mem}_2[R[rs1] + \text{SignExt}(\text{imm12})] = R[rs2](15:0)$
Store byte	S	sb rs2, imm12(rs1)	$\text{Mem}_1[R[rs1] + \text{SignExt}(\text{imm12})] = R[rs2](7:0)$

# Swap Example

- Source code in C:

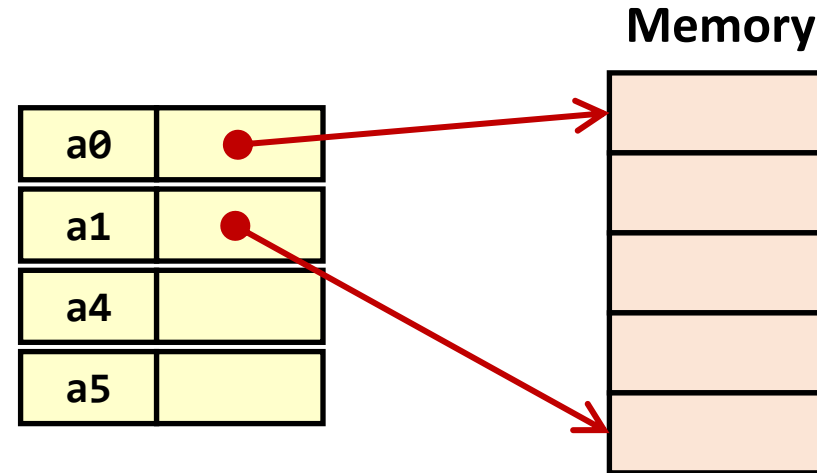
```
void swap(long *xp, long *yp)
{
    long t0 = *xp;
    long t1 = *yp;
    *xp = t1;
    *yp = t0;
}
```

- Corresponding assembly code:

```
swap:
    ld    a4, 0(a0)
    ld    a5, 0(a1)
    sd    a5, 0(a0)
    sd    a4, 0(a1)
    ret
```

# Understanding Swap (I)

```
void swap(long *xp, long *yp)
{
    long t0 = *xp;
    long t1 = *yp;
    *xp = t1;
    *yp = t0;
}
```



## Register Allocation (By compiler)

Register	Variable
a0	xp
a1	yp
a4	t0
a5	t1

swap:

```
ld    a4, 0(a0)    # t0 = *xp
ld    a5, 0(a1)    # t1 = *yp
sd    a5, 0(a0)    # *xp = t1
sd    a4, 0(a1)    # *yp = t0
ret
```

# Understanding Swap (2)

```
void swap(long *xp, long *yp)
{
    long t0 = *xp;
    long t1 = *yp;
    *xp = t1;
    *yp = t0;
}
```

a0	0x120
a1	0x100
a4	
a5	

Memory

0x120	123
0x118	
0x110	
0x108	
0x100	456

## Register Allocation (By compiler)

Register	Variable
a0	xp
a1	yp
a4	t0
a5	t1

swap:

```
ld    a4, 0(a0)
ld    a5, 0(a1)
sd    a5, 0(a0)
sd    a4, 0(a1)
ret
```

```
# t0 = *xp
# t1 = *yp
# *xp = t1
# *yp = t0
```

# Understanding Swap (3)

```
void swap(long *xp, long *yp)
{
    long t0 = *xp;
    long t1 = *yp;
    *xp = t1;
    *yp = t0;
}
```

a0	0x120
a1	0x100
a4	123
a5	

Memory

0x120	123
0x118	
0x110	
0x108	
0x100	456

## Register Allocation (By compiler)

Register	Variable
a0	xp
a1	yp
a4	t0
a5	t1

swap:

```
ld    a4, 0(a0)    # t0 = *xp
ld    a5, 0(a1)    # t1 = *yp
sd    a5, 0(a0)    # *xp = t1
sd    a4, 0(a1)    # *yp = t0
ret
```



# Understanding Swap (4)

```
void swap(long *xp, long *yp)
{
    long t0 = *xp;
    long t1 = *yp;
    *xp = t1;
    *yp = t0;
}
```

a0	0x120
a1	0x100
a4	123
a5	456

Memory

0x120	123
0x118	
0x110	
0x108	
0x100	456

## Register Allocation (By compiler)

Register	Variable
a0	xp
a1	yp
a4	t0
a5	t1

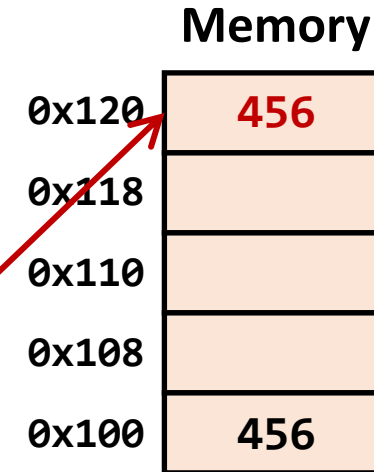
swap:

```
ld    a4, 0(a0)    # t0 = *xp
ld    a5, 0(a1)    # t1 = *yp
sd    a5, 0(a0)    # *xp = t1
sd    a4, 0(a1)    # *yp = t0
ret
```

# Understanding Swap (5)

```
void swap(long *xp, long *yp)
{
    long t0 = *xp;
    long t1 = *yp;
    *xp = t1;
    *yp = t0;
}
```

a0	0x120
a1	0x100
a4	123
a5	456



## Register Allocation (By compiler)

Register	Variable
a0	xp
a1	yp
a4	t0
a5	t1

swap:

```
ld    a4, 0(a0)    # t0 = *xp
ld    a5, 0(a1)    # t1 = *yp
sd    a5, 0(a0)    # *xp = t1
sd    a4, 0(a1)    # *yp = t0
ret
```

# Understanding Swap (6)

```
void swap(long *xp, long *yp)
{
    long t0 = *xp;
    long t1 = *yp;
    *xp = t1;
    *yp = t0;
}
```

a0	0x120
a1	0x100
a4	123
a5	456

Memory

0x120	456
0x118	
0x110	
0x108	
0x100	123

## Register Allocation (By compiler)

Register	Variable
a0	xp
a1	yp
a4	t0
a5	t1

swap:

```
ld    a4, 0(a0)    # t0 = *xp
ld    a5, 0(a1)    # t1 = *yp
sd    a5, 0(a0)    # *xp = t1
sd    a4, 0(a1)    # *yp = t0
ret
```

# String Copy Example

```
void strcpy(char x[],
            char y[])
{
    size_t i;

    i = 0;
    while
        ((x[i]=y[i])!='\0')
        i += 1;
}
```

strcpy:

```
; x is in a0
; y is in a1
; i is allocated in t3

    add    t3,zero,zero    ; t3 <- 0
L1:  add    t0,t3,a1        ; t0 <- &y[i]
     lbu   t1,0(t0)        ; t1 <- y[i]
     add   t2,t3,a0        ; t2 <- &x[i]
     sb    t1,0(t2)        ; x[i] <- y[i]
     beq   t1,zero,L2      ; if (t1==0), goto L2
     addi  t3,t3,1         ; t3 += 1
     j     L1              ; goto L1
L2:  ret                    ; return
```